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1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER ERA-105.0 (9704/98056) **DESIGNATED/ELECTED OFFICE (DO/EO/US)** APPLICATION NO. (#Fknown, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/ES2005/000116 07 March 2005 08 March 2004 TITLE OF INVENTION PEPTIDES AS CELL PENETRATING CARRIERS APPLICANT(S) FOR DO/EO/US Ernest Giralt Lledo and Jimena Fernandez Carneado Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. M This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 3). 4 \bowtie A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5 is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 冈 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau), have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) (unexecuted) 10. 🔲 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern documents) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11 | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. XI A preliminary amendment. \boxtimes 14. An Application Data Sheet under 37 CFR 1.76.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

15.

16.

18.

17.

A substitute specification.

A power of attorney and/or change of address letter.

second copy of the published International Application under 35 U.S.C. 154(d)(4).

amount
of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.
Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Mail Stop PCT,
American LegalNet, Inc. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. www.USCourtForms.com

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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. of known see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 07 March 2005 ERA-105.0 (9704/98058) 20. Other items or information: **CALCULATIONS PTO USE ONLY** The following fees have been submitted 21. 🖂 \$ 300.00 22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/Us or the international preliminary examination report \$ 200.00 prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/Us or the international preliminary examination report prepared by Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 International Searching Authority..... International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the USA by the IB\$400 All other situations 0.00 TOTAL OF 21, 22 and 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) 37 CFR 1.492(j)) The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or **Total Sheets Extra Sheets** fraction thereof (round up to a whole RATE number) -100 19 \$ Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or \$ declaration after the date of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$ Total claims 12 \$50 \$ x Independent claims -3 = Х \$200 \$

\$360

TOTAL NATIONAL FEE =

TOTAL FEES ENCLOSED =

SUBTOTAL =

TOTAL OF ABOVE CALCULATIONS =

\$

Amount to be

refunded:
Amount to be

charged:

900.00

-450.00

450.00

\$

\$

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450.00

450.00

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MULTIPLE DEPENDENT CLAIM(S) (if applicable)

claimed priority date (37 CFR 1.492(i)).

Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied

by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

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a. 🛛	A check in the amount of $$450.00$ to cover the above fees is enclosed.						
b. 🗌	Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above fees.					
c. 🛛	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.						
d. 🗌	Fees are to be charged to a credit card. WARNING : Information on this form may become public. Credit card information should not be included on this form . Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: Edward P. Gamson Welsh & Katz, Ltd. 120 South Riverside Plaza Suite 2200 Chicago, Illinois 60606		Edward P. Gamson NAME 29,381 REGISTRATION NUMBER					

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CERTIFICATE OF 1.10) Applicant(s):Giralt I	Docket No. ERA-105.0 (9704/98058)				
Serial No. Unknown	Filing Date August 30, 2006	Prior Examiner N/A		Prior Group Art Unit: N/A	

Title: PEPTIDES AS CELL PENETRATING CARRIERS

Transmittal Letter to the United States Designated/Elected Office Concerning a Submission Under 35 U.S.C. 371 (in duplicate) (2 pp.); Application Data Sheet (3 pp.); Preliminary Amendment (5 pp.); Copy of parent application including Specification, Claims and Abstract (16 pp.); Formal Drawings (3 pp.); hard copy of Sequence Listing (2 pp.); Computer readable disk with Sequence List; Statement Under 37 C.F.R. 1.821(e) and (f); Unexecuted Declaration/Power of Attorney; Check No. 101683 in the amount of \$450.00; and Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-0001 on August 30, 2006

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Carl Stanley

(Typed or Printed Name of Person Mailing Correspondence)

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